

**FACSIMILE COVER SHEET****BRINKS  
HOFFER  
GILSON  
& LIONE**

Date: April 18, 2007

To: Primary Examiner Andrew Rudy, Group Art Unit 3627  
Fax No: 571-273-6789From: James L. Katz  
Tel. No: (312) 321-7739 (voice)  
(312) 321-4299 (fax)  
email: jkatz@brinkshofer.com

Client No: 10098/6

No. of Pages  
(inc. this page): 14

Confirmation Copy To Follow: Yes No X

IF YOU HAVE ANY PROBLEMS RECEIVING THIS MESSAGE,  
PLEASE CALL 312-321-4200 AND ASK FOR:

THIS MESSAGE IS INTENDED ONLY FOR THE INDIVIDUAL OR ENTITY TO WHICH IT IS ADDRESSED. IT MAY CONTAIN PRIVILEGED, CONFIDENTIAL, ATTORNEY WORK PRODUCT, OR TRADE SECRET INFORMATION WHICH IS EXEMPT FROM DISCLOSURE UNDER APPLICABLE LAWS. IF YOU ARE NOT THE INTENDED RECIPIENT, OR AN EMPLOYEE OR AGENT RESPONSIBLE FOR DELIVERING THE MESSAGE TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION, OR COPYING OF THIS MESSAGE IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS MESSAGE IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE AND RETURN THE ORIGINAL MESSAGE (AND ALL COPIES) TO US BY MAIL AT THE ABOVE ADDRESS. WE WILL REIMBURSE YOU FOR POSTAGE.

**COVER MESSAGE:**

Examiner Rudy,

**RE: US Patent Application Serial No. 09/475,637**

Enclosed is a copy of the Second Supplemental IDS that was filed on January 23, 2003. Also enclosed is a copy of the stamped post card receipt evidencing the timely receipt of this IDS by the USPTO on January 27, 2003.

Note that this same IDS was also filed in the co-pending related applications at the same time and appears in the PAIR record of at least related application 09/475,634.

Please consider the cited references and acknowledge your consideration by initialing the form 1449 included herewith. Please then fax back a copy of the initialed form 1449 to my attention at (312) 321-4299.

Please do not hesitate to contact should you have any questions or concerns.  
Thanks for your help in this matter!  
Jim Katz (Reg. No. 42,711)

A Professional Corporation  
Intellectual Property AttorneysNBC Tower - Suite 3600  
455 N. Cityfront Plaza Drive  
Chicago, Illinois 60611-5599  
Facsimile 312-321-4299  
Telephone 312-321-4200San Jose, CA  
Indianapolis, IN  
Ann Arbor, MI  
Arlington, VA

Serial No.: 09/475,637 Filed Date: Dec. 30, 1999  
Applicants: Robert L. Tritt et al.

Case No.: 10098/6

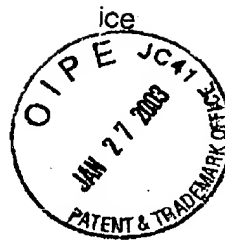
Items mailed to: Commissioner for Patents, Washington, DC 20231  
Transmittal Letter (in dup.); check for \$180.00 for filing fee; Second  
Supplemental Information Disclosure Statement; PTO 1449 Form;  
copy of cited references C1-C16 and return post card.

**BRINKS HOFER GILSON & LIONE**

By: James L. Katz, Reg. No. 42,711

Date of Mailing: January 23, 2003

By: JLK/md



, 1999

DC 20231  
e; Second  
449 Form;

**BRINKS HOFER GILSON & LIONE**

A PROFESSIONAL CORPORATION

By JLK/LG

JLK/md

**BEST AVAILABLE COPY**

Serial No.: 09/475,637 Filed Date: Dec. 30, 1999

Applicants: Robert L. Tritt et al.

Case No.: 10098/6

Items mailed to: Commissioner for Patents, Washington, DC 20231  
Transmittal Letter (in dup.); check for \$180.00 for filing fee; Second  
Supplemental Information Disclosure Statement; PTO 1449 Form;  
copy of cited references C1-C16 and return post card.

ice

**BRINKS HOFER GILSON & LIONE**

By: James L. Katz, Reg. No. 42,711

Date of Mailing: January 23, 2003

By: JLK/md

**BRINKS HOFER GILSON & LIONE**

A PROFESSIONAL CORPORATION

By JLK

Serial No.: 09/475,637 Filed Date: Dec. 30, 1999  
Applicants: Robert L. Tritt et al.  
Case No.: 10098/6  
Items mailed to: Commissioner for Patents, Washington, DC 20231  
Transmittal Letter (in dup.); check for \$180.00 for filing fee; Second  
Supplemental Information Disclosure Statement; PTO 1449 Form;  
copy of cited references C1-C16 and return post card.

**BRINKS HOFER GILSON & LIONE**

By: James L. Katz, Reg. No. 42,711

Date of Mailing: January 23, 2003

By: JLK/md

**BEST AVAILABLE COPY**

TRANSMITTAL LETTER			Case No.
Serial No. 09/475,637	Filing Date December 30, 1999	Examiner A. Rudy	10098/6
Inventor(s) Tritt et al.			Group Art Unit 3627
Title of Invention SIMULTANEOUS REAL-TIME ACCESS TO FINANCIAL INFORMATION			

## TO THE COMMISSIONER FOR PATENTS

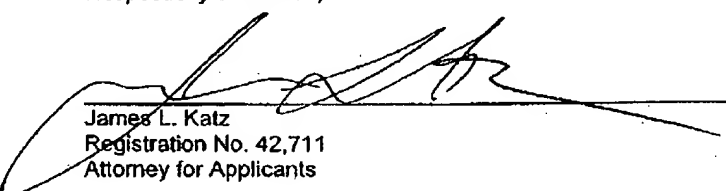
Transmitted herewith is: Transmittal Letter (in dup.); Check for \$180.00 for filing fee; Second Supplemental Information Disclosure Statement; PTO Form 1449; copy of cited references C1-C16; and return post card.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Petition for a \_\_\_\_\_ month extension of time.
- ☐ No additional fee is required.
- ☐ The fee has been calculated as shown below:

					Small Entity		Other Than Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	Rate	Add'l Fee
Total		Minus			x \$9=		x \$18=	
Indep.		Minus			x 39=		x \$78=	
First Presentation of Multiple Dep. Claim					+\$130 =		+\$260=	
					Total add'l fee	\$	total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$180.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

  
James L. Katz  
Registration No. 42,711  
Attorney for Applicants

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, ILLINOIS 60610 - (312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on January 23, 2003.

Date:

1/23/03

Signature:

